DOCKET NO.: ISIS-4723 Application No.: 09/823,031

Office Action Dated: May 18, 2004

PATENT

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1-21. (canceled)

\ 2**½**.

(currently amended) A process for preparing an oligonucleotide having the formula:

$$\begin{array}{c|c}
R_1 & O & Bx \\
O & R_3 & \\
O & P & X \\
O & & & \\
R_2 & R_3
\end{array}$$

wherein:

R₁ is a group having the formula:

$$\begin{array}{c} & | & \\ O & \\ O = P - R_4 \\ | & \\ O & \\ L_1 \end{array}$$

Q₀ is O or S;

R₄ is O⁻, hydroxyl, or a protected hydroxyl;

R₂ is hydroxyl, a protected hydroxyl or a group having the formula:

each R₃ is H, a 2'-substituent group or a protected 2'-substituent group; each X is, independently, O', hydroxyl, protected hydroxyl, or -S-L₃; each Bx is an optionally protected heterocyclic base moiety; n is from 3 to about 50; and

 L_1 , L_2 and each of said L_3 are, independently, a cholesterol, phospholipid, biotin, phenazine, phenanthridine, anthraquinone, acridine, fluorescein, rhodamine, or coumarin, or dye;

wherein said R_1 and at least one of said R_2 or said X comprise a cholesterol, phospholipid, biotin, phenazine, phenanthridine, anthraquinone, acridine, fluorescein, rhodamine, or coumarin;

comprising the steps of:

a) providing a derivatized solid support for oligonucleotide synthesis, said derivatized solid support being derivatized with a group having one of the structures:

$$Q_1$$
— O — O
 Bx
 Q_1 — O
 O
 EtO
 O
 T

wherein

T is a bifunctional linking moiety linked to the solid support; and Q₁ is an acid labile hydroxyl protecting group;

b) treating said derivatized solid support with an acidic reagent to deblock said acid labile hydroxyl protecting group to give a free hydroxyl group;

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c) reacting said free hydroxyl group with a phosphoramidite composition to form an extended compound, said phosphoramidite composition having the formula:

$$Q_2$$
— O — Bx
 O
 R_3
 Z_6 — P
 Q_3

wherein

Q₂ is a 5'-terminal acid labile hydroxyl protecting group;

Q₃ is a phosphorus protecting group; and

Z₆ and Z₇ are, independently, C₁₋₆ alkyl;

or Z_6 and Z_7 are joined together to form a 4- to 7-membered heterocyclic ring system including the nitrogen atom to which Z_6 and Z_7 are attached, wherein said ring system optionally includes at least one additional heteroatom selected from O, N and S;

- d) oxidizing said extended compound to form an oxidized compound, or treating said extended compound with an acidic reagent to deblock said 5'-terminal acid labile hydroxyl protecting group of said extended compound to give a free hydroxyl group and repeating step c) at least one time followed by oxidizing said extended compound to form an oxidized compound;
- e) treating said oxidized compound with an acidic reagent to deblock said acid labile hydroxyl protecting group to give a free hydroxyl group and repeating steps c) and d) at least three times to form an extended oxidized compound;
- f) treating said extended oxidized compound with a reagent effective to deblock said protected hydroxyl group to give a free hydroxyl group and reacting said free hydroxyl group with a compound of formula:

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$$Q_2$$
— Q_2 — Q_3 — Q_5

thereby forming a 5'-functionalized compound; wherein

Q₅ is an acid labile hydroxyl protecting group;

- g) treating said 5'-functionalized compound for a time and under conditions effective to remove at least one phosphorus protecting group giving at least one deblocked phosphorothioate linkage; and
- h) reacting said deblocked phosphorothioate linkage with a cholesterol, phospholipid, biotin, phenazine, phenanthridine, anthraquinone, acridine, fluorescein, rhodamine, or coumarin, that is reactive with and forms a covalent bond with said deblocked phosphorothioate linkage to give said oligonucleotide.

(original) The process of Claim 22 further comprising the step of treating said 5'-functionalized compound with a capping agent to form a capped compound.

(original) The process of Claim 22 wherein said R₂ is a group having the formula:

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25. (original) The process of Claim 24 wherein L₁ is different from L₂.

26. (original) The process of Claim 22 wherein at least one of said X is -S-L₃.

27. (original) The process of Claim 26 wherein L_1 is different from L_3 .

- 28. (canceled)
- 29. (canceled)

30. (previously presented) The process of Claim 22 wherein each of said Q_3 is independently selected from the group consisting of cyanoethyl, diphenylsilylethyl, cyanobutenyl, cyano p-xylyl (CPX), methyl-N-trifluoroacetyl ethyl (META) and acetoxy phenoxy ethyl (APOE) groups.

(original) The process of Claim 22 wherein said 5'-functionalized compound is treated in step g) to remove all phosphorus protecting groups.

(original) The process of Claim 22 wherein n is from about 8 to about 30.

(original) The process of Claim 32 wherein n is from about 15 to about 25.

(original) The process of Claim 22 wherein each of said Q_1 and Q_2 is independently selected from the group consisting of trimethoxytrityl, dimethoxytrityl (DMT),

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monomethoxytrityl, 9-phenylxanthen-9-yl (Pixyl) and 9-(p-methoxyphenyl)xanthen-9-yl (Mox).

(original) The process of Claim 22 wherein each of said Bx is independently selected from the group consisting of adenine, guanine, thymine, cytosine, uracil, 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine, 2-thiocytosine, 5-halouracil, 5-halocytosine, 5-propynyl uracil, 5-propynyl cytosine, 6-azo uracil, 6-azo cytosine, 6-azo thymine, 5-uracil (pseudouracil), 4-thiouracil, 8-substituted adenines and guanines, 5-substituted uracils and cytosines, 7-methylguanine, 7-methyladenine, 8-azaguanine, 8-azaguanine, 7-deazaguanine, 7-deazaguanine, 3-deazaguanine and 3-deazaguanine.

(original) The process of Claim $\frac{1}{22}$ wherein at least one of said L_1 , L_2 , and L_3 is attached to the oligonucleotide through a linking group.

(original) The process of Claim 36 wherein the linking group comprises a dialkylglycerol linker.

38. (original) The process of Claim 22 wherein each of said \mathbb{Z}_6 and \mathbb{Z}_7 is isopropyl.

39. (original) The process of Claim 22 wherein each R₃ is, independently, C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, C₅-C₂₀ aryl, O-alkyl, O-alkyl, O-alkynyl, O-alkylamino, O-alkylalkoxy, O-alkylaminoalkyl, O-alkyl imidazole, thiol, S-alkyl, S-alkenyl, S-alkynyl, NH-alkyl, NH-alkenyl, NH-alkynyl, N-dialkyl, O-aryl, S-aryl, NH-aryl, O-aralkyl, S-aralkyl, NH-aralkyl, N-phthalimido, halogen keto, carboxyl, nitro, nitroso, nitrile, trifluoromethyl, trifluoromethoxy, imidazole, azido, hydrazino, hydroxylamino, isocyanato, sulfoxide, sulfone, sulfide, disulfide, silyl, heterocycle, carbocycle, polyamine, polyamide, polyalkylene glycol, and polyether;

or each substituent group has one of formula I or II:

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$$-Z_{0} = \left(\frac{1}{(CH_{2})_{q1}} - O\left(\frac{R_{5}}{N} \right)_{q2} \right)_{q3} (CH_{2})_{q4} - J - E$$

$$I \qquad II$$

wherein:

Zo is O, S or NH;

J is a single bond, O or C(=O);

E is C_1 - C_{10} alkyl, $N(R_5)(R_6)$, $N(R_5)(R_7)$, $N=C(R_5)(R_6)$, $N=C(R_5)(R_7)$ or has one of formula III or IV;

each R_8 , R_9 , R_{10} , R_{11} and R_{12} is, independently, hydrogen, $C(O)R_{13}$, substituted or unsubstituted C_1 - C_{10} alkyl, substituted or unsubstituted C_2 - C_{10} alkenyl, substituted or unsubstituted C_2 - C_{10} alkynyl, alkylsulfonyl, arylsulfonyl, a chemical functional group or a conjugate group, wherein the substituent groups are selected from hydroxyl, amino, alkoxy, carboxy, benzyl, phenyl, nitro, thiol, thioalkoxy, halogen, alkyl, aryl, alkenyl and alkynyl;

or optionally, R_9 and R_{10} , together form a phthalimido moiety with the nitrogen atom to which they are attached;

or optionally, R_{11} and R_{12} , together form a phthalimido moiety with the nitrogen atom to which they are attached;

each R₁₃ is, independently, substituted or unsubstituted C₁-C₁₀ alkyl, trifluoromethyl, cyanoethyloxy, methoxy, ethoxy, t-butoxy, allyloxy, 9-fluorenylmethoxy, 2-(trimethylsilyl)-ethoxy, 2,2,2-trichloroethoxy, benzyloxy, butyryl, iso-butyryl, phenyl or aryl;

R₅ is T-L,

T is a bond or a linking moiety;

L is a chemical functional group, a conjugate group or a solid support material; each R₅ and R₆ is, independently, H, a nitrogen protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein said substitution is OR₃, SR₃, NH₃⁺, N(R₁₄)(R₁₅), guanidino or acyl where said acyl is an acid amide or an ester;

or R₅ and R₆, together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O;

or R21, T and L, together, are a chemical functional group;

each R_{14} and R_{15} is, independently, H, C_1 - C_{10} alkyl, a nitrogen protecting group, or R_{14} and R_{15} , together, are a nitrogen protecting group;

or R₁₄ and R₁₅ are joined in a ring structure that optionally includes an additional heteroatom selected from N and O;

 Z_4 is OX, SX, or $N(X)_2$;

each X is, independently, H, C_1 - C_8 alkyl, C_1 - C_8 haloalkyl, $C(=NH)N(H)R_{16}$, $C(=O)N(H)R_{16}$ or $OC(=O)N(H)R_{16}$;

 R_{16} is H or C_1 - C_8 alkyl;

 Z_1 , Z_2 and Z_3 comprise a ring system having from about 4 to about 7 carbon atoms or having from about 3 to about 6 carbon atoms and 1 or 2 heteroatoms wherein said heteroatoms are selected from oxygen, nitrogen and sulfur and wherein said ring system is aliphatic, unsaturated aliphatic, aromatic, or saturated or unsaturated heterocyclic;

 Z_5 is alkyl or haloalkyl having 1 to about 10 carbon atoms, alkenyl having 2 to about 10 carbon atoms, alkynyl having 2 to about 10 carbon atoms, aryl having 6 to about 14 carbon atoms, $N(R_5)(R_6)$ OR₅, halo, SR₅ or CN;

each q_1 is, independently, an integer from 1 to 10; each q_2 is, independently, 0 or 1;

q₃ is 0 or an integer from 1 to 10;

> q_4 is an integer from 1 to 10; q_5 is from 0, 1 or 2; and provided that when q_3 is 0, q_4 is greater than 1.

40-49. (canceled)

(currently amended) A process for preparing an oligonucleotide having the formula:

$$\begin{array}{c|c}
R_1 & O & Bx \\
O & R_3 & \\
O & P - X & \\
O & R_2 & R_3
\end{array}$$

wherein:

R₁ is a group having the formula:

$$Q_0 = P - R_4$$
 $Q_0 = P - R_4$
 $Q_1 = P - R_4$

 Q_0 is O or S;

R₄ is O, hydroxyl, or a protected hydroxyl;

R₂ is hydroxyl, a protected hydroxyl or a group having the formula:

each R₃ is H, a 2'-substituent group or a protected 2'-substituent group; each X is, independently, O', hydroxyl, a protected hydroxyl, or -S-L₃; each Bx is an optionally protected heterocyclic base moiety; n is from 3 to about 50; and

L₁, L₂ and each of said L₃ are, independently, a cholesterol, phospholipid, biotin, phenazine, phenanthridine, anthraquinone, acridine, fluorescein, rhodamine, or coumarin; comprising the steps of:

providing a derivatized solid support for oligonucleotide synthesis, said derivatized solid support being derivatized with a group having one of the structures:

$$Q_1$$
— O
 Q_1 — O
 Q_2
 O
 EtO
 O
 T
 O
 T

wherein

T is a bifunctional linking moiety linked to the solid support; and Q₁ is an acid labile hydroxyl protecting group;

- treating said derivatized solid support with an acidic reagent to deblock b) said acid labile hydroxyl protecting group to give a free hydroxyl group;
- reacting said free hydroxyl group with a phosphoramidite composition c) to form an extended compound, said phosphoramidite composition having the formula:

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$$Q_2$$
— O — B
 O
 R_3
 Z_6 — N
 P
 Q_3
 Z_7

wherein

Q₂ is a 5'-terminal acid labile hydroxyl protecting group;

Q₃ is a phosphorus protecting group; and

 Z_6 and Z_7 are, independently, C_{1-6} alkyl;

or Z_6 and Z_7 are joined together to form a 4- to 7-membered heterocyclic ring system including the nitrogen atom to which Z_6 and Z_7 are attached, wherein said ring system optionally includes at least one additional heteroatom selected from O, N and S;

- d) oxidizing said extended compound to form an oxidized compound, or treating said extended compound with an acidic reagent to deblock said 5'-terminal acid labile hydroxyl protecting group of said extended compound to give a free hydroxyl group and repeating step c) at least one time followed by oxidizing said extended compound to form an oxidized compound;
- e) treating said oxidized compound with an acidic reagent to deblock said acid labile hydroxyl protecting group to give a free hydroxyl group and repeating steps c) and d) at least three times to form an extended oxidized compound;
- f) treating said extended oxidized compound with an acidic reagent effective to deblock said 5'-terminal acid labile hydroxyl protecting group to give a free hydroxyl group and reacting said free hydroxyl group with a compound of the formula:

$$Q_2$$
— Q_2 — Q_3 — Q_4 — Q_5

thereby forming a 5'-functionalized compound; wherein

Q₅ is an acid labile hydroxyl protecting group;

51. (original) The process of Claim 50 further comprising the step of treating said 5'-functionalized compound with a capping agent to form a capped compound.

(original) The process of Claim-50 wherein at least one of said L_1 , L_2 , and L_3 is attached to the oligonucleotide through a linking group.

53. (original) The process of Claim 52 wherein the linking group comprises a dialkylglycerol linker.

 \mathcal{V} 54. (original) The process of Claim 50 wherein each of said Z_6 and Z_7 is isopropyl.

55. (canceled)

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56. (canceled)

- 17
- 27 57. (original) The process of Claim 50 wherein L_1 is different from L_2 and L_3 .
- (original) The process of Claim 50 wherein each of said Q₃ is independently selected from the group consisting of cyanoethyl, diphenylsilylethyl, cyanobutenyl, cyano p-xylyl (CPX), methyl-N-trifluoroacetyl ethyl (META) and acetoxy phenoxy ethyl (APOE) groups.
- (original) The process of Claim 50 wherein each of said Q₁ and Q₂ is independently selected from the group consisting of trimethoxytrityl, dimethoxytrityl (DMT), monomethoxytrityl, 9-phenylxanthen-9-yl (Pixyl) and 9-(p-methoxyphenyl)xanthen-9-yl (Mox).
- from the group consisting of adenine, guanine, thymine, cytosine, uracil, 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine, 2-thiocytosine, 5-halouracil, 5-halocytosine, 5-propynyl uracil, 5-propynyl cytosine, 6-azo uracil, 6-azo cytosine, 6-azo thymine, 5-uracil (pseudouracil), 4-thiouracil, 8-substituted adenines and guanines, 5-substituted uracils and cytosines, 7-methylguanine, 7-methyladenine, 8-azaguanine, 8-azaguanine, 7-deazaguanine, 7-deazaguanine, 3-deazaguanine and 3-deazaguanine.